



PTO/SB/96 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Armament Systems and Procedures, Inc.Application No./Patent No.: 10/614,774 Filed/Issue Date: July 8, 2003Entitled: LED FLASHLIGHT WITH DIE-STRUCK PANEL\_\_\_\_\_, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached for 10/614,774.☐ ANDB. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:1. From: Kevin L. Parsons & W. Clay Reeves Armament Systems and Procedures, Inc.

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached for 10/045,231.

2. From: Kevin L. Parsons & W. Clay Reeves Armament Systems and Procedures, Inc.

The document was recorded in the United States Patent and Trademark Office at

Reel 014250, Frame 0092, or for which a copy thereof is attached for 09/851,685  
now U.S. Patent No. 6,511,214.3. From: Kevin L. Parsons & W. Clay Reeves Armament Systems and Procedures, Inc.

The document was recorded in the United States Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached for Continuation  
Application No. 09/653,646 (Now USP 6,357,890) and parent 09/226,322

[ ] Additional documents in the chain of title are listed on a supplemental sheet. (now USP 6,190,018).

☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

3/4/04

Date

312-655-1500

Telephone number

Thomas R. Vigil

Typed or printed name

Thomas R. Vigil

Signature

Attorney for Applicant

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**ASSIGNMENT**

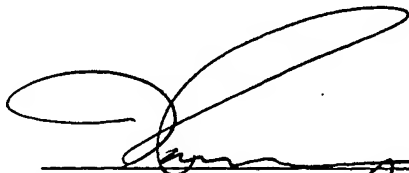
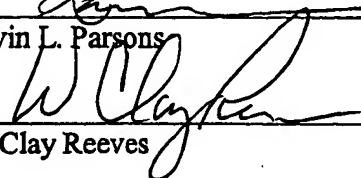
We, KEVIN L. PARSONS, a citizen of the United States, residing in Appleton, Wisconsin and W. CLAY REEVES, a citizen of the United States, residing in Dallas, Texas, for valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to Armament Systems and Procedures, Inc., a Wisconsin corporation, having an office at 2511 East Capitol Drive, Appleton, WI, 54911, its successors and assigns, the entire right, title and interest in and to all subject matter invented by us and disclosed in the application for Letters Patent of the United States, Serial No. 10/045,231, filed November 9, 2001, entitled

**MINIATURE LED FLASHLIGHT**

and in and to all present and future applications for patents and Letters Patent therefor, including Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter, including all divisions, reissues, continuations, and extensions thereof, and all rights of priority resulting from the filing of said United States application. We agree to sign all documents and perform such affirmative acts as necessary to secure and enforce all said applications and Letters Patent and rights, including any reissue thereof and any interferences, reexaminations or oppositions in which said applications or patents issued therefrom may become involved, and request issuance of all said Letters Patent to the above assignee in accordance with this assignment.

11 November, 2002

19 November, 2002

  
\_\_\_\_\_  
Kevin L. Parsons  
  
\_\_\_\_\_  
W. Clay Reeves

STATE OF Wisconsin )

COUNTY OF Outagamie )

SS

Subscribed and Sworn to before me this 11<sup>th</sup> day of November, 2002, by  
KEVIN L. PARSONS.

*Nancy R. Finck*  
Notary Public

My Commission expires: 4-9-2006

STATE OF Texas )

COUNTY OF Dallas )

SS

Subscribed and Sworn to before me this 19<sup>th</sup> day of November, 2002, by W.  
CLAY REEVES.

*Raul Arturo Caballero*  
Notary Public

My Commission expires: 09-12-05



**ASSIGNMENT**

WHEREAS, Kevin L. Parsons, a citizen of the United States of America, residing at 2511 East Capitol Drive, Appleton, Wisconsin 54911, hereinafter referred to as ASSIGNOR, has invented and owns a certain invention entitled:

**LED FLASHLIGHT WITH DIE-STRUCK PANEL**

as described in the application for United States patent executed concurrently herewith; and

WHEREAS, Armament Systems and Procedures, Inc., a corporation organized and existing under the laws of Wisconsin, having its principal place of business at 2511 East Capitol Drive, Appleton, Wisconsin 54911 (hereinafter referred to as Assignee), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said patent or any patent applications, continuations, divisions, renewals, substitutes or reissues thereof for the full term or terms for which the same may be granted.

ASSIGNOR also assigns all of his right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and ASSIGNOR will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said applications, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date: 23 Jun 03

  
Kevin L. Parsons



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RLW/EDC

RECEIVED

UNITED STATES PATENT AND TRADEMARK OFFICE

2004 JAN 19 AM 8:20

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

WELSH & KATZ, LTD.

JANUARY 13, 2004

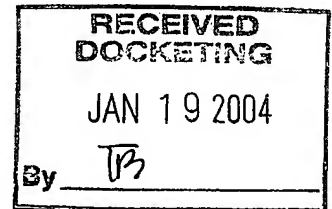
PTAS

WELSH & KATZ, LTD.  
ERIC D. COHEN, ESQ.  
120 SOUTH RIVERSIDE PLAZA  
22ND FLOOR  
CHICAGO, IL 60606



\*102496443A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT



THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/11/2003

REEL/FRAME: 014250/0092  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PARSONS, KEVIN L.

DOC DATE: 06/09/2003

ASSIGNOR:

REEVES, W. CLAY

DOC DATE: 06/20/2003

ASSIGNEE:

ARMAMENT SYSTEMS AND PROCEDURES,  
INC.  
2511 EAST CAPITOL DRIVE  
APPLETON, WISCONSIN 54911

SERIAL NUMBER: 09851685  
PATENT NUMBER: 6511214

FILING DATE: 05/08/2001  
ISSUE DATE: 01/28/2003

014250/0092 PAGE 2

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

REI

07-15-2003

cket No.: 86380

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings ⇄ ⇄ ⇄ ▼ ▼



102496443

To the Honorable Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kevin L. Parsons and  
W. Clay Reeves

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: June 9, 2003 and June 20, 2003

2. Name and address of receiving party(ies):

Name: Armament Systems and Procedures, Inc.

Address: 2511 East Capitol Drive

City: Appleton

State/Prov.: Wisconsin

Country: U.S.A.

ZIP: 54911

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

6,511,214 B1

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eric D. Cohen, Esq.

Registration No. 38,110

Address: Welsh & Katz, Ltd.

120 South Riverside Plaza

22nd Floor

City: Chicago

State/Prov.: Illinois

Country: U.S.A.

ZIP: 60606

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

23-0920

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Eric D. Cohen, Esq., Reg. No. 38,110

Name of Person Signing

Signature

July 8, 2003

Date

07/14/2003 GTON 1 00000138 6511214 Total number of pages including cover sheet, attachments, and

ASSIGNMENT

WHEREAS, Kevin L. Parsons residing in Appleton, Wisconsin and W. Clay Reeves, residing in Dallas, Texas, both citizens of the United States of America, hereinafter referred to as ASSIGNORS, have invented and owns a certain invention entitled:

MINIATURE LED FLASHLIGHT

for which a patent application of the United States has been filed on May 8, 2001 and having a Serial No. of 09/851,685, now U.S. Patent No. 6,511,214 B1, which issued on January 28, 2003; and

WHEREAS, Armament Systems and Procedures, Inc., a corporation organized and existing under the laws of Wisconsin, having its principal place of business at 2511 East Capitol Drive, Appleton, Wisconsin 54911 (hereinafter referred to as Assignee), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that in consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS hereby sell, assign and transfer to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said patent or any patent applications, continuations, divisions, renewals, substitutes or reissues thereof for the full term or terms for which the same may be granted.

ASSIGNORS also assign all of their right, title and interest in and to said invention in all foreign countries, and all applications for Letters Patent which may evolve therefrom, including the right to claim International Convention priority.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNORS and ASSIGNORS will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said applications, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Date: 9 Jun 03

  
Kevin L. Parsons

Date: 20 June 03

  
W. Clay Reeves



**ASSIGNMENT**

Case No.: 98,390

Serial No.: 09/226,322

Inventor: **Kevin L. Parsons et al.**

Date of Execution

of Application:

Filing Date: January 6, 1999

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

**Armament Systems and Procedures, Inc.**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

**MINIATURE LED FLASHLIGHT**

and identified as:

**98,390**

in the offices of McDONNELL BOEHNNEN HULBERT & BERGHOFF and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this <sup>22</sup> 1 day of MARCH, 1997.



State of Wisconsin

County of Douglas

The foregoing instrument was acknowledged before me this 1st day of

March, 1997 by Kevin Parsons

  
NOTARY PUBLIC

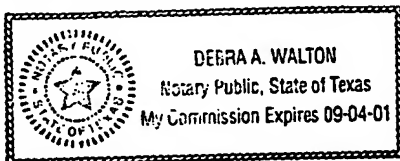
WITNESS my hand and seal this 23 day of March, 1999.

[Signature]

State of Texas

County of Dallas

The foregoing instrument was acknowledged before me this 23<sup>rd</sup> day of March, 1999 by Donald A. Keller



Debra A. Walton  
NOTARY PUBLIC

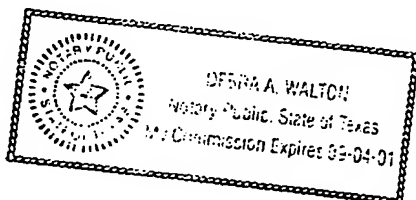
WITNESS my hand and seal this 23 day of March, 1999.

W. Clay Reeves

State of Texas

County of Dallas

The foregoing instrument was acknowledged before me this 23<sup>rd</sup> day of March, 1999 by W. Clay Reeves



Debra A. Walton  
NOTARY PUBLIC